

UV-C LEDs with external quantum efficiency up to 8%

Achieving improved performance from growth on 'face-to-face' annealed sputtered AlN templates on sapphire.

Researchers in Japan have used 'face-to-face' annealed (FFA) sputtered AlN (Sp-AlN) templates on sapphire to fabricate 263nm ultraviolet-C (UV-C) light-emitting diodes (LEDs) with state-of-the-art external quantum efficiency (EQE) [Kenjiro Uesugi et al, Appl. Phys. Express, v15, p055501, 2022].

The team from Mie University, Osaka University and University of Tokyo comments: "To the best of our knowledge, the developed UV-C LED has the highest EQE at a wavelength of approximately 265nm, even considering the state-of-the-art devices fabricated on sapphire substrates and free-standing AlN substrates."

UV-C LEDs are sought to replace bulky, fragile and hazardous mercury lamps used for sterilization and virus inactivation, needing wavelengths shorter than 300nm. Presently, UV-C LEDs have low EQEs of less than 10%. The reported LEDs achieved EQEs up to 8% when the devices were suitably packaged and encapsulated.

The team has previously used its FFA Sp-AlN growth technique to reduce threading dislocation densities (TDDs) by an order of magnitude to $4 \times 10^7/\text{cm}^2$.

The Sp-AlN was deposited on sapphire substrate with a 0.2° off-angle in the m-direction. Two samples were grown at 700 and 750°C, respectively.

The wafers were subject FFA at 1600–1700°C for 36 hours. The FFA arrangement involves placing two wafers with the two AlN surfaces in contact 'face-to-face', avoiding thermal decomposition of the AlN at such high temperature in nitrogen at atmospheric pressure.

The resulting FFA Sp-AlN layer was 600nm thick. This was followed by metal-organic vapor phase epitaxy (MOVPE) of 200nm AlN and 300nm unintentionally doped $\text{Al}_{0.7}\text{Ga}_{0.3}\text{N}$. Life being what it is, the reduced threading dislocation density of the underlying AlN leads to increased fractions of screw- and mixed-type dislocations that present as "excessively large" hillock structures on the wafer surface (Figure 1).

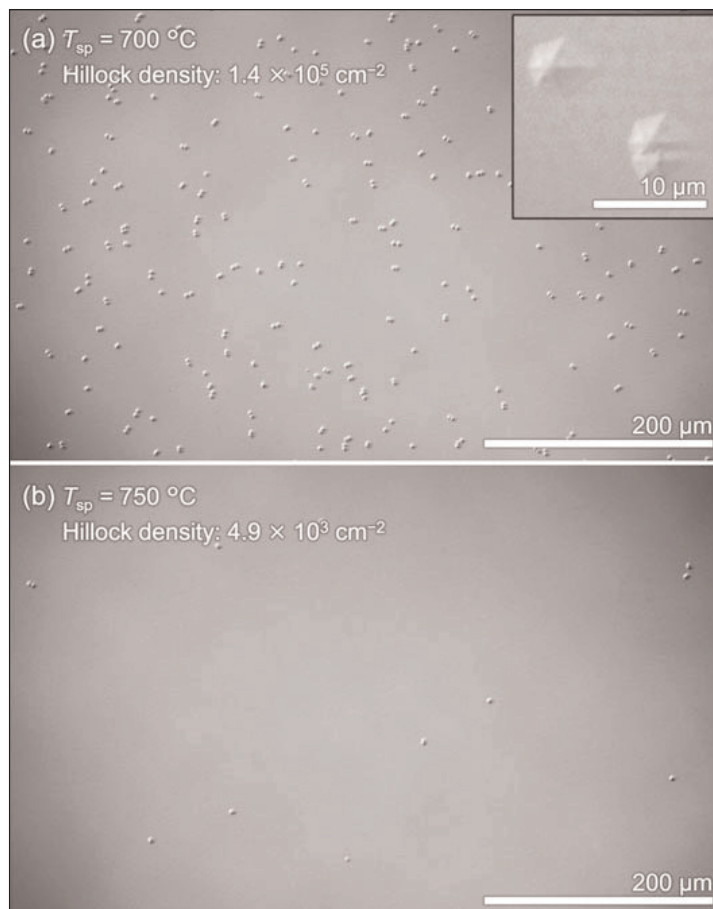


Figure 1. Nomarski microscopy images of 300nm-thick $\text{Al}_{0.7}\text{Ga}_{0.3}\text{N}$ films grown on FFA Sp-AlN fabricated at sputter-deposition temperature of (a) 700°C, (b) 750°C. Inset: magnified image of typical hillock structures.

Contact	GaN:Mg	150nm
Graded p-type	$\text{Al}_{0.95-0.40}\text{Ga}_{0.05-0.60}\text{N:Mg}$	15nm
Electron-blocking	AlN	7nm
MQW	$3 \times (\text{Al}_{0.44}\text{Ga}_{0.56}\text{N}/\text{Al}_{0.69}\text{Ga}_{0.31}\text{N})$	$3 \times (2.0/3.6\text{nm})$
Current-spreading	$\text{Al}_{0.77}\text{Ga}_{0.23}\text{N:Si}$	1.2 μm
Graded buffer	UID- $\text{Al}_{1-0.77}\text{Ga}_{0-0.23}\text{N}$	100nm
Buffer	AlN	600nm
Template	FFA Sp-AlN	

Figure 2. MOVPE layer sequence.

The hillock density was affected by the sputter temperature: $1.4 \times 10^5/\text{cm}^2$ and $4.9 \times 10^3/\text{cm}^2$ on AlN sputtered at 700°C and 750°C, respectively. Clearly, the higher sputtering temperature is preferred. Previous FFA Sp-AlN grown at 600°C resulted in an even higher hillock density of $\sim 10^6/\text{cm}^2$.

The researchers comment: "The occurrence of lower screw- and mixed-type FFA Sp-AlN dislocation densities at higher deposition temperatures can be attributed to the improvement in the c-axis orientational ordering of the AlN film in the as-sputtered state."

Working toward LED structures, the researchers then grew 1 μm silicon-doped (:Si) Al_{0.75}Ga_{0.25}N on FFA Sp-AlN by MOVPE. The researchers studied the effects of MOVPE growth temperature, rate, and ammonia/hydrogen (NH₃/H₂) partial pressures on the AlGa_{0.25}N:Si surface morphology. To maintain the Al content of the layer at 75%, the researchers also varied the trimethyl-Al/Ga precursor supply to compensate.

The study showed that the interest of low hillock density was achieved through high temperature (1100°C) and low growth rate (0.5 $\mu\text{m}/\text{h}$), along with high NH₃ partial pressure (10kPa). The effect of H₂ partial pressure was insignificant. The optimized conditions reduce the difference in growth rates between the regions near and far from the screw dislocations.

The researchers comment: "Notably, the hillock density was independent of the MOVPE growth conditions for AlGa_{0.25}N, as it was determined by the density of the screw- and mixed-type dislocations in the FFA Sp-AlN template."

The condition for reducing hillocks had the unfortunate effect of meandering step growth and pit formation. This was tackled with a 1050°C low-temperature 100nm AlGa_{0.25}N:Si interlayer before growing the UV-C LED structure.

The template for the UV-C material was FFA Sp-AlN sputtered at 750°C and annealed for 51 hours at 1600–1680°C. The MOVPE layer sequence (Figure 2) included a multiple quantum well (MQW) consisting of three well/barrier pairs grown at 1050°C. The MQW was given a low silicon doping concentration of $3\text{--}5 \times 10^{17}/\text{cm}^3$, designed to reduce non-radiative recombination center density.

The 1.2 μm n-type, silicon-doped current-spreading layer was mostly grown at 1150°C, except for the last 100nm grown at 1050°C.

X-ray analysis of the material suggested a hillock density of $7.2 \times 10^3/\text{cm}^2$. The researchers comment: "These values are the state-of-the-art low TDDs among the Al(Ga)N grown on sapphire substrates."

LEDs were fabricated with nickel/gold p-electrodes and titanium/aluminium/nickel/gold n-electrodes. The sap-

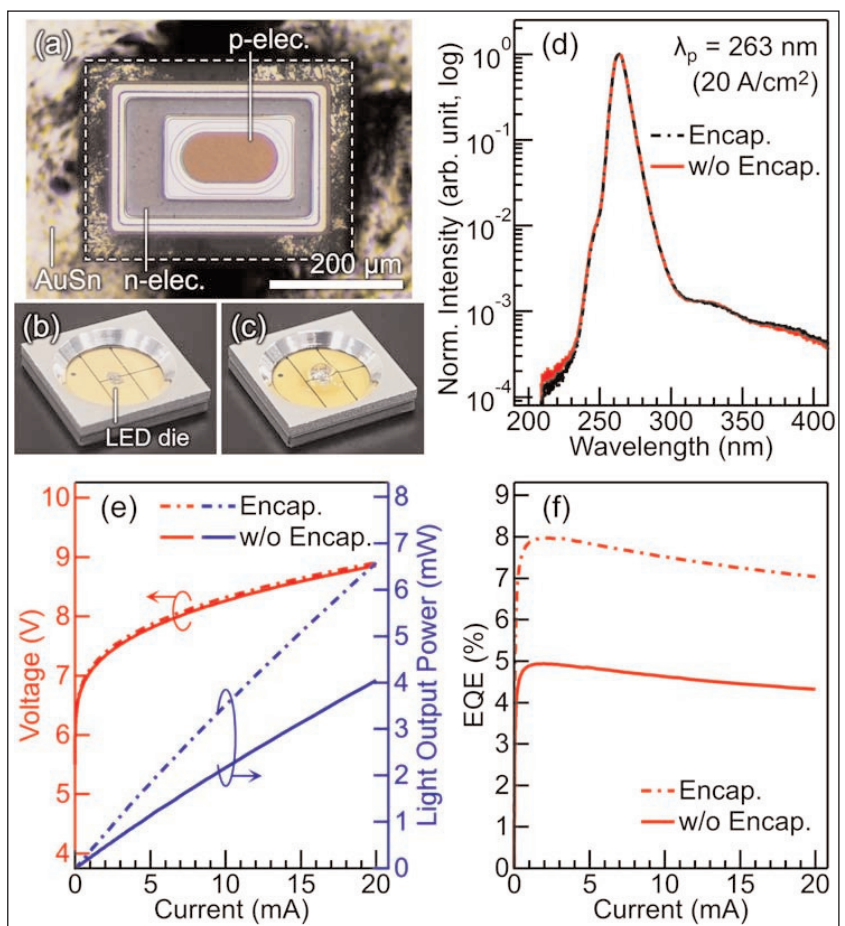


Figure 3. (a) Optical microscope image of as-mounted UV-C LED bare die in ceramic package. Dashed rectangle: edge of die. Photographs of packaged UV-C LEDs: (b) without and (c) with encapsulation. (d) Electroluminescence spectra. (e) Current-voltage-light output power, and (f) EQE results.

phire substrate was thinned and polished to 150 μm . The 400 $\mu\text{m} \times 300\mu\text{m}$ dies were singulated through laser scribing.

The chips were packaged flip-chip mounted on a 6.8mm \times 6.8mm sized AlN-based container-type ceramic package with gold-tin eutectic bonding and Al reflector (Figure 3). Some of the devices were encapsulated in UV-transparent silicone resin composed of poly(dimethylsiloxane) and poly(diethoxy-siloxane).

The emitted UV-C radiation's peak wavelength was 263nm. Without encapsulation the external quantum efficiency reached 4.9% at 2.0mA injection current. Encapsulation increased the peak EQE to 8.0% at 2.9mA. The corresponding output powers at 20mA were 4.0 and 6.6mW, respectively. The researchers estimate the light extraction efficiency enhancement from encapsulation at 1.6x.

The high EQEs are attributed to improved internal quantum efficiency and, possibly, carrier injection efficiency. "The uniform thickness and AlN-molar fraction of the MQWs, AlN-EBL, and p-type AlGa_{0.25}N:Mg are attributable to the suppressed undesirable current crowding and overflow," the team writes. ■

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